# **Status Change from Minor to Major**

## **Documents required:**

- 1. Request letter in Form ISR-4 (**Annexure -1**) duly signed by the shareholder(s) along with the Original Share Certificates and other documents mentioned therein.
- 2. Attested copy of the Birth Certificate of the Minor (see note below).
- 3. Self-Attested copy of Pan Card.
- 4. Specimen Signature of the Minor attested by bank.
- 5. Duly notarized Affidavit of the value applicable in the state where the document is executed is required for the same (see note below). (In case of name correction)

#### **NOTE**

- Attestation of photocopies/xerox copies should be done by a Magistrate/Notary Public/ Special Executive Magistrate or a similar authority holding a public office and authorised to use the seal of this office.
- Notarised documents should also contain Notary Serial no. / Register no. etc. as per the provisions of the Notaries Rules, 1956.

### The above Documents to be sent to RTA on the below mentioned address

Name: Shri S Chandrasekaran

Address: M/s. Data Software Research Company Private Limited

19, Pycrofts Garden Road, Nungambakkam,

Chennai 600 006,

**Phone No**: +91 44 28213738, +91 44 28214487

Email: bpcl@dsrc-cid.in

## **Procedure:**

- 1. The Shareholder has to approach a Depository Participant (DP) for opening a demat account.
- 2. After opening the demat account the shareholder has to submit request letter in ISR-4 along with the documents mentioned therein to the RTA together with the above mentioned documents.
- 3. After certain internal processes and approval from the appropriate authorities(s) and as per SEBI Circular dated 25.01.2022, RTA will send the Letter of Confirmation (LOC) to the shareholder and retain the Physical share certificate and deface the certificate with a stamp "Letter of Confirmation Issued" on the face / reverse of the certificate.

- 4. The LOC will be valid for 120 days from the date of its issue, within which the shareholder shall make a request to the DP for dematerializing the said securities.
- 5. DP shall generate the demat request on the basis of LOC and forward the same to the Issuer Company / RTA for processing the demat request.
- 6. After receiving the demat request form from DP, the RTA will process the same and credit the shares to the demat account of the applicant.
- 7. The RTA / Issuer Companies shall issue a reminder after the end of 30 days, 60 days and 90 days from the date of issuance of LOC, informing the applicant to submit the demat request as above, in case no such request is received by the RTA / Issuer Company.
- 8. In case the Shareholder fails to submit the demat request within the aforesaid period of 120 days from the date of issue of LOC, RTA / Issuer Company shall credit the securities to the Suspense Escrow Demat Account of the Company. The Shares can subsequently be claimed back by the shareholder by applying in the format in Form ISR-4 by ticking the appropriate service request.



**Annexure-1** 

Date: / /

#### Form ISR-4

(Refer circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 on Issuance of Securities in dematerialized form in case of Investor Service Requests)

## Request for issue of Duplicate Certificate and other Service Requests

(for Securities - Shares / Debentures / Bonds, etc., held in physical form)

A.	Mandatory Documents / details required for processing all service request:			
I/W	I / We are submitting the following documents / details and undertake to request			
the [	the Depository Participant to dematerialize my / our securities within 120 days from			
the	date of issuance of Letter of Confirmation, received from the RTA/Issuer			
Com	pany (tick □as relevant, refer to the instructions):			
• D	emat Account No. (If available):			
F	Provide Client Master List (CML) of your Demat Account from the Depository			
F	Participant*			

Provide the following details, if they are not already available with the RTA (see <u>SEBI</u> circular dated <u>November 03, 2021</u> in this regard)

PAN	Specimen Signature
Nomination / Declaration to Opt-out	
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<sup>\* (</sup>Your address, e-mail address, mobile number and bank details shall be updated in your folio from the information available in your **CML**). You can authorize the RTA to update the above details for all your folios. In this regard, please refer to and use <u>Form ISR-1</u> in <u>SEBI circular dated November 03, 2021</u>.

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☐ Issue of Duplicate certificate ☐ Replacement / Renewal / Exchange of securities certificate		□Claim from Unclaimed Suspe Account			Suspense
		□ Endors	sement		
□ Sub-division / Splitting of certificate	f securities	□ Conso	lidation	of Folios	
□ Consolidation of Securities certificate		□ Transr	mission		
☐ Transposition (Mention the new order of holders here)					
. I / We are enclosing certi	ficate(s) as	detailed b	pelow**	*•	
. I / We are enclosing certi	ficate(s) as	detailed k	pelow**	*•	
	ficate(s) as	detailed k	pelow**	*•	
Name of the Issuer	ficate(s) as	detailed k	pelow*	*•	
Name of the Issuer Company	ficate(s) as	detailed k	pelow*	*:	
Name of the Issuer Company Folio Number	, ,	detailed k	pelow*	*:	
Name of the Issuer Company Folio Number Name(s) of the security	1.	detailed k	pelow*	*•	
Name of the Issuer Company Folio Number Name(s) of the security holder(s) as per the	1. 2.	detailed k	pelow*	*•	
Name of the Issuer Company Folio Number Name(s) of the security holder(s) as per the certificate(s)	1. 2.	detailed k	pelow**	*•	

D. Document / details required for specific service request:

I. 

Duplicate securities certificate



II.	□ Claim from	Unclaimed	Suspense	Account
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	(in
numbers)	
(in words)	
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III.	□ Replacement / Renewal / Exchange of securities certificate				
	(that is defaced, mutilated, torn, decrepit, worn out or where the page on the				
	reverse is fully utilized)				

IV. ☐ Endorsement

V. 

Sub-division / Splitting of securities certificate

VI. ☐ Consolidation of securities certificate/Folios

VII. ☐ Transmission

VIII. □ Transposition

Provide / attach original securities certificate(s) for request for item numbers III to VIII above.

**Declaration**: All the above facts stated are true and correct to best of my / our knowledge and belief.

	Security Holder 1 / Claimant	Security Holder 2	Security Holder 3
Signature	✓	✓	J
Name	<b>✓</b>	<b>✓</b>	J



	/	
Full	V	
address		
PIN	<b>√</b> □□□□□□	

After processing the service request, the RTA shall issue a 'Letter of Confirmation' to the securities holder/claimant, which is valid only for 120 days. Using this 'Letter of Confirmation', the securities holder/claimant shall request the DP to dematerialize the securities, failing which the securities shall be credited to the Suspense Escrow Demat Account of the Company.